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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/916,881 **Application Number** TRANSMITTAL **FORM Filing Date** July 27, 2001 (to be used for all correspondence after initial filing) **First Named Inventor** Wilce, Scot 3628 **Group Art Unit** Chencinski, Siegfried E. **Examiner Name** Total Number of Pages in This Submission G08.001 **Attorney Docket Number ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) (please **Extension of Time Request** Change of Correspondence identify below): Form PTO-1449, Address 9 cited references, and Acknowledgement Postcard. **Express Abandonment Request** Terminal Disclaimer 4th Supplemental Information Request for Refund Disclosure Statement Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Patrick J. Buckley Individual name Signature Date October 20, 2004 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class October 20, 2004 mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Type or printed name Edith\_Martin

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Date

October 20, 2004

JAW 3628

Attorney Docket No.: G08.001





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WILCE et al.

Serial No.: 09/916,881

Filing Date: July 27, 2001

For: SYSTEMS AND METHODS FOR FACILITATING AGREEMENT DEFINITION VIA AN AGREEMENT MODELING SYSTEM

Serial No.: 09/916,881

Group Art Unit: 3628

Attorney Docket No.: G08.001

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## 4<sup>th</sup> SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed within three months of filing or prior to the mailing of a first office action on the merits.

Respectfully submitted,

October 20, 2004

Date

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## 4<sup>th</sup> SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

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Application Number	09/916,881
Filing Date	July 27, 2001
First Named Inventor	WILCE, Scot
Group Art Unit	2176
Examiner Name	Not yet assigned
Attorney Docket Number	G08.001

Complete if Known

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent Doc	ument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Α	6,778,968	B1	Gulati	08/17/2004		
	В	2002/0010686	A1	Whitesage	01/24/2002		
	С	2002/0087534	A1	Blackman et al.	07/04/2002		
	D	2002/0095311	A1	Donahue	07/18/2002		
	E	2002/0111922	A1	Young et al.	08/15/2002		
	F	2004/0010463	A1	Hahn-Carlson et al	01/15/2004		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Foreign Patent Document  Foreign Patent Document  Kind Code <sup>5</sup> Office <sup>3</sup> Number <sup>4</sup> (# known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	G	WO	96/21903	Al	King et al.	07/18/1996		
	Н	WO	01/75745	A1	Whitesage et al.	10/11/2001		Π
	I	WO	02/10935	A1	Hazard	02/07/2002		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.